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PATENT

Attorney Docket No.: 00287S-004450US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Philip S. Green, et al.

Application No.: 10/629,345

Filed: July 28, 2003

For: SURGICAL MANIPULATOR FOR

A TELEROBOTIC SYSTEM

Examiner: Priddy, Michael B.

Art Unit: 3732

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97 and §1.98

Mail Stop: Amendment Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner.

In accordance with 37 CFR §1.98(d), copies of the references can be found in the related parent Application Nos. 09/104,935 and 10/124,573, filed 06/25/98 and 04/16/2002, now Patent Nos. 6,413,264 B1 and 6,620,174 B2, issued 07/02/2002 and 09/16/2003 (Attorney Docket Nos. 00287S-004430US and 00287S-004440US), respectively.

It is requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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PHILIP S. GREEN, et al. Application No.: 10/629,345

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: January 28, 2005

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor

San Francisco, CA 94111-3834

Tel: 206-467-9600 Fax: 415-576-0300

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ute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 7

Complete if Known						
Application Number	10/629,345					
Filing Date	July 28, 2003	•				
First Named Inventor	PHILIP S. GREEN.					
Group Art Unit	3732					
Examiner Name	Priddy, Michael B.					
Attorney Docket Number	00287S-004450US					

			U.S. PATENT DOCUI	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document    Number   Kind Code <sup>2</sup>   (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	1,418,184	Trunic	05/1922	
	AB	2,815,697	Saunders-Singer	12/1957	
	AC	2,901,258	Brandafi	08/1959	
	AD	3,145,333	Pardini et al.	08/1964	
	AE	3,255,893	Hainer et al.	06/1966	
	AF	3,337,071	Clark	08/1967	
	AG	3,463,329	Gartner	08/1969	
	AH	3,630,389	Schmidt et al.	12/1971	
	Al	3,703,968	Uhrich et al.	11/1972	
	AJ	3,818,125	Butterfield	06/1974	
	AK	3,910,535	Ohnaka	10/1975	
	AL	3,921,445	Hill et al.	11/1975	
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	AN	3,934,201	Majefski	01/1976	
	AQ	4,113,115	Yoshio	09/1978	
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	AT	4,260,319	Motoda et al.	04/1981	
	AU	4,264,266	Trechsel	04/1981	
	AV	4,349,837	Hinds	09/1982	
	AW	4,419,041	Rose	12/1983	
	AX	4,437,635	Pham	03/1984	
	AY	4,503,854	Jako	03/1985	
<del></del>	AZ	4,510,574	Guittet et al.	04/1985	
	BA	4,542,794	Bjor	09/1985	
	BB	4,562,463	Lipton	12/1985	
	BC	4,563,567	Geffroy et al.	01/1986	
	BD	4,582,067	Silverstein et al.	04/1986	
	BE	4,583,117	Lipton et al.	04/1986	
	BF	4,601,000	Montabery	07/1986	
	BG	4,636,138	Gorman	01/1987	

Examiner Date Signature Considered			
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute	e for form 1449A/F	то			Complete if Known
	•			Application Number	10/629,345
INFO	RMATIO	n dis	CLOSURE	Filing Date	July 28, 2003
STATEMENT BY APPLICANT				First Named Inventor	PHILIP S. GREEN
				Group Art Unit	3732
(use as many sheets as necessary)			necessary)	Examiner Name	Priddy, Michael B.
Sheet	2	of	7	Attorney Docket Number	00287S-004450US

			U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ВН	4,651,201	Schoolman	03/1987	
	BI	4,672,963	Barken	06/1987	
	BJ	4,718,815	Lindgren	01/1988	
	BK	4,744,343	Hasson	05/1988	
	BL	4,750,475	Yoshihashi	06/1988	
	BM	4,751,925	Tontarra	06/1988	
	BN	4,762,455	Coughlan et al.	08/1988	
	ВО	4,808,898	Pearson	02/1989	
	BP	4,837,734	Ichikawa et al.	06/1989	
	BQ	4,839,822	Dormand et al.	06/1989	
	BR	4,854,302	Alfred/III	08/1989	
	BS	4,855,822	Narendra et al.	08/1989	
	ВТ	4,862,873	Yajima et al.	09/1989	
	BU	4,873,572	Miyazaki et al.	10/1989	
	BV	4,899,730	Stennert et al.	02/1990	
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	BX	4,936,862	Walker et al.	06/1990	
	BY	4,941,106	Krieger	07/1990	
	BZ	4,942,539	McGee et al.	07/1990	
	CA	4,943,296	Funakubo et al.	07/1990	
	СВ	4,945,914	Allen	08/1990	
	CC	4,947,702	Kato	08/1990	
	CD	4,991,579	Allen	02/1991	
	CE	5,002,418	McCown et al.	03/1991	
	CF	5,020,933	Salvestro et al.	06/1991	
	CG	5,037,268	Warner et al.	08/1991	
	CH	5,045,936	Lobb et al.	09/1991	
	CI	5,060,532	Barker	10/1991	
	CJ	5,062,761	Glechet	11/1991	
	CK	5,078,140	Kwoh	01/1992	
	CL	5,086,401	Glassman et al.	02/1992	
	СМ	5,096,236	Thony	03/1992	
	CN	5,099,846	Hardy	03/1992	
	CO	5,125,888	Howard	06/1992	

Examiner Signature	Date Considered	

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/629,345 INFORMATION DISCLOSURE Filing Date July 28, 2003 STATEMENT BY APPLICANT **First Named Inventor** PHILIP S. GREEN 3732 Group Art Unit (use as many sheets as necessary) **Examiner Name** Priddy, Michael B. Attorney Docket Number 00287S-004450US Sheet

	т	<u> </u>	U.S. PATENT DOCUM	MENIS	
Examiner Initials *		Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	CP	5,129,911	Siczek et al.	07/1992	
	CQ	5,141,519	Smith et al.	08/1992	
	CR	5,142,930	Allen et al.	09/1992	
	CS	5,154,723	Kubota et al.	10/1992	
	CT	5,184,601	Putman	02/1993	
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	CY	5,236,432	Matsen/III et al.	08/1993	
	CZ	5,251,127	Raab	10/1993	
	DA	5,253,706	Reid	10/1993	
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	DD	5,271,384	McEwen et al.	12/1993	
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	DF	5,279,309	Taylor et al.	01/1994	
	DG	5,280,427	Magnusson et al.	01/1994	
	DH	5,281,220	Blake/III	01/1994	
	DI	5,284,130	Ratliff	02/1994	
	DJ	5,299,288	Glassman et al.	03/1994	
	DK	5,305,203	Raab	04/1994	
	DL	5,320,101	Faupel et al.	06/1994	
	DM	5,321,353	Furness	06/1994	
	DN	5,325,866	Krzyzanowski	07/1994	
	DO	5,339,799	Kami et al.	08/1994	
	DP	5,343,385	Joskowicz et al.	08/1994	
	DQ	5,343,391	Mushabac	08/1994	
	DR	5,360,446	Kennedy	11/1994	
	DS	5,377,683	Barken	01/1995	
• -	DT	5,383,454	Bocholz	01/1995	
	DU	5,397,323	Taylor et al.	03/1995	
	DV	5,398,685	Wilk et al.	03/1995	
	DW	5,402,801	Taylor	04/1995	

Examiner Signature	Date Considered	

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Substitute	e for form 1449A/PTO	)			Complete if Known
				Application Number	10/629,345
INFO	RMATION	DIS	CLOSURE	Filing Date	July 28, 2003
STAT	<b>TEMENT B</b>	ΥA	PPLICANT	First Named Inventor	PHILIP S. GREEN
				Group Art Unit	3732
(use as many sheets as necessary)		Examiner Name	Priddy, Michael B.		
Sheet	4	of	7	Attorney Docket Number	00287S-004450US

			U.S. PATENT DOCUI	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document  Kind Code²  (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	DX	5,408,409	Glassman et al.	04/1995	
	DY	5,417,210	Funda et al.	05/1995	
	DZ	5,425,528	Rains et al.	06/1995	
	EA	5,441,505	Nakamura	08/1995	
	EB	5,445,166	Taylor	08/1995	
	EC	5,474,566	Alesi et al.	12/1995	
_	ED	5,480,409	Riza	01/1996	
	EE	5,531,742	Barken	07/1996	·
	EF	5,572,999	Funda et al.	11/1996	•
	EG	5,630,431	Taylor	05/1997	
	EH	5,636,138	Gilbert et al.	06/1997	
	EI	5,695,500	Taylor et al.	12/1997	
	EJ	5,762,458	Jensen et al.	06/1998	
	EK	5,810,880	Jensen	09/1998	
	EL	5,814,038	Wang et al.	09/1998	
	EM	5,855,583	Wang et al.	01/1999	
	EN	5,871,487	Warner	02/1999	
	EO	5,931,832	Jensen	08/1999	
	EP	5,976,156	Taylor et al.	11/1999	
	EQ	6,102,850	Wang et al.	08/2000	
	ER	6,106,511	Jensen	08/2000	
	ES	6,346,072	Cooper	02/2000	

	FOREIGN PATENT DOCUMENTS										
			Foreign Patent Docu	ment			Pages, Columns,				
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number⁴	Code <sup>5</sup> (if or Applicant o	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
	ET	CH	482 439			01/1970					
	EU	CH	1 395 478			10/1986					
	EV	DE	2 819 976			11/1979					

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/629,345 INFORMATION DISCLOSURE **Filing Date** July 28, 2003 STATEMENT BY APPLICANT **First Named Inventor** PHILIP S. GREEN 3732 Group Art Unit (use as many sheets as necessary) **Examiner Name** Priddy, Michael B. 00287S-004450US Sheet Attorney Docket Number

	Foreign		Foreign Patent Docum	FOREIGN PA			Pages, Columns,	
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> ( <i>if</i> <i>known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear	Т <sup>8</sup>
	EW	DE	3 808 121			03/1988		
	EX	DE	3 806 190			09/1988		
	EY	DE	4 213 426			10/1992		
	EZ	EP	0 239 409			09/1987		
	FA	EP	0 291 292			11/1988		
	FB	EP	0 595 291			05/1994		
	FC	FR	2,460,762			01/1981		
	FD	FR	2,593,106			07/1987		
	FE	FR	2,614,230			04/1987		
	FF	GB	2 040 134			08/1980		
	FG	GB	2 119 732			101983		
	FH	PCT	WO 92/16141			10/1992		

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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00287S-004450US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449B/PTO Complete if Known **Application Number** 10/629,345 INFORMATION DISCLOSURE Filing Date July 28, 2003 STATEMENT BY APPLICANT PHILIP S. GREET **First Named Inventor** Group Art Unit 3732 (use as many sheets as necessary) Priddy, Michael B. **Examiner Name** 

Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	FI	Alexander, Arthur D., III, "Impacts of Telemation on Modern Society," NASA, Ames Research Center, Advanced Concepts and Missions Div., Moffett Field, California, in Symposium on Theory and Practise of Robots and Manipulators, 1st Udine, Italy, International Centre for Mechanical Sciences, Sep. 5-8, 1973, Preprints, (A74-16130 04-05), pp. 122-136.		
	FJ	Asada, Haruhiko et al., "Development of a Direct-Drive Arm Using High Torque Brushless Motors," pp. 583-599.		
	FK	Bejczy, A. K. et al., "Controlling Remote Manipulators Through Kinesthetic Coupling," 1983, Computers in Mechanical Engineering, pp. 48-60.		
	FL	Charles, S., M.D. et al., "Design Of A Surgeon-Machine Interface For Teleoperated Microsurgery," IEEE Engineering In Medicine & Biology Society 11th Annual International Conference CH2770-6/89/0000-0883, pp. 0883-0884 (1989).		
	FM	Dolan, J. et al., "A Robot In An Operating Room: A Bull In A China Shop?" IEEE/9th Annual Conference of the Engineering in Medicine and Biology Society CH2513-0/87/0000-1096, pp. 1096-1097 (1987).		
	FN	Fisher et al., "Virtual Interface Environment," Oct. 1986, Proceedings IEEE/AIAA 7th Digital Avionics Systems Conference, Fort Worth, Texas, pp. 346-350.		
	FO	Geurrouad, Aicha eta I., "SMOS: Stereotaxical Microtelemanipulator for Ocular Surgery," IEEE Engineering in Medicine and Biology Soc., 11th Ann. Int'l. Conf., 0879, CH2770-6/89/0879.		
	FP	Held, Richard et al., "Telepresence, Time Delay and Adaptation," in NASA, Ames Research Center, Spatial Displays and Spatial Instruments Proceedings of a Conference Sponsored by Nasa Ames Research Center and The School of Optometry, University of California, Jul. 1989, pp. 28-1 through 28-16.		
	FQ	Jau, B. M., "Anthropomorphic Remoter Manipulator", Apr. 1991, NASA Tech Briefs, NASA's Jet Propulsion Laboratory, Pasadena, California, p. 92.		
	FR	Kazerooni, H., "Design and Analysis of the Statically Balanced Direct-Drive Robot Manipulator," Robotics & Computer Integrated Manuf., vol. 5, No. 4, pp. 287-293, 1989.		
	FS	Kilmer, R.D. et al., "Watchdog Safety Computer Design and Implementation," National Bureau of Standards, Robotics Conference, Jun., 1984, pp. 101-117.		
	FT	Kim et al., "A Helmet Mounted Display for Telerobotics," 1988, 33 IEEE Computer Society International Conference, California, pp. 543-547.		
	FU	Matsushima, K., "Servo Micro Manipulator Tiny Micro Mark-1," 1982, 4th Symposium on Theory and Practise of Robots and Manipulators, pp. 193-201.		

Examiner	Date	·
Signature	Considered	

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Substitute for form 1449B/PTO	Complete if Known		
INFORMATION DIOOL COURS	Application Number	10/629,345	
INFORMATION DISCLOSURE	Filing Date	July 28, 2003	
STATEMENT BY APPLICANT	First Named Inventor	PHILIP S. GREET	
	Group Art Unit	3732	
(use as many sheets as necessary)	Examiner Name	Priddy, Michael B.	
Sheet 7 of 7	Attorney Docket Number	00287S-004450US	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	FV	Ng, W.S. et al., "Robotic Surgery, A First-Hand Experience in Transurethral Resection of the Prostate," IEEE Engineering in Medicine and Biology, Mar. 1993, pp. 120-125.	
	FW	Paul, Howard A. et al., "Development of a Surgical Robot for Cementless Total Hip Anthroplasty," Clinical Orthopaedics and Related Research, Apr. 21, 1992, pp. 57-66.	
	FX	Richter, R., "Telesugery May Bridge Future Gaps," 1988, Time Tribune, Sunday, Jan. 24, pp. A-1 and A-16.	
	FY	Spain, E. H., "Stereo Advantage for a Peg-In-Hole Task Using Force-Feedback Manipulator," 1990, Stereoscopic Displays and Applications, pp. 244-254.	
	FZ	Tachi, et al., "Tele-Existence Master Slave System for Remote Manipulation," 1990, Proceedings of the 29th Conference on Decision and Control, vol. 1 of 6, pp. 85-90.	
	GA	Taubes, Gary, "Surgery in Cyberspace," Discover, Dec., 1994, pp. 85-92.	
	GB	Taylor, Russell H. et al., "A Telerobotic Assistant for Laparoscopic Surgery," IEEE Engineering in Medicine and Biology, May/Jun. 1995, pp. 279-288.	
	GC	Trevelyan, James P. et al., "Motion Control for a Sheep Shearing Robot," pp. 175-190.	
	GD	Blue Cross Magazine Perspective, "Another Pair of Hands for Surgeon?", First Quarter 1972, The Blue Cross Magazine Perspective, p. 27.	
	GE	Computer Motion, Inc. "AESOP Automated Endoscopic System for Optimal Positioning," advertisement.	
	GF	PDE Modular Automation Bulletin, Mar. 7, 1990.	
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ĺ	Examiner	Date	
	Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.